

Investment Strategy Service

By completing this form, you will provide all the information we need in order to *document* an investment strategy for a self managed superannuation fund. As part of our service, we:

- prepare a minute and investment strategy for signing by the relevant parties; and
- prepare a Derivatives Risk Statement for signing by the relevant parties (if applicable).

Note that this is a documentation service only to assist the Trustee to meet its obligations under Section 52(2)(f) of the *Superannuation Industry (Supervision) Act 1993* ("SIS Act"). This service is not designed to provide investment advice (and should not be construed to).

The cost of this service is **\$165** (including GST). Add \$110 (including GST) if a Derivatives Risk Statement is requested. Please see payment options below and send completed form to docprep@heffron.com.au

Confirmation and Acceptance of Terms

I confirm that the information on this form is correct and I have completed the payment details below. *Please note that payment must accompany all requests* (an invoice will be provided in due course).

Name & Signature:

Invoice in the name of:

Payment Details

Please find a cheque attached

A Direct Debit agreement is in place in the name of:.....

Account Holder's Signature:

Please charge the following credit card:

MasterCard Visa **Amount:**.....

Cardholder's full name:

Card Number: _ _ _ _ / _ _ _ _ / _ _ _ _ / _ _ _ _ Expiry Date: _ _ / _ _

Cardholder's Signature:

Section A: Contact Details

Person Ordering the Service – documents will be forwarded to this person.

Name:

Name of Firm:

Postal Address:

Contact Number: Fax:

Email:

Section B: Fund and Trustee Details

Name of Fund:

Please Indicate Trustee Type

Corporate Trustee Name:

Company Directors (including title and full name):

.....

Individual Trustees (including title and full name):

.....

Street Address:

Section C: Investment Objective & Strategy – Fund & Member Profile

Please Complete the following table:

Investment Objective measurement term	Rolling _____ year periods
Investment Objective	Exceed inflation by _____% or more before tax and expenses
Fund Benefit Phase	Accumulation/Pension/Both
Will the Fund invest in derivatives¹?	Yes/No
Expected level of contributions and rollovers (combined) over the term specified above	\$ _____
Expected level of payments (lump sum and/or pension) over the term specified above	\$ _____
Current Member's Name(s) and Date(s) of Birth	Member 1 Name: _____ Member 1 DOB: ___/___/___ Member 2 Name: _____ Member 2 DOB: ___/___/___ Member 3 Name: _____ Member 3 DOB: ___/___/___ Member 4 Name: _____ Member 4 DOB: ___/___/___

¹ A derivative means a financial asset or liability the value of which depends on, or is derived from, other assets, liabilities or indices. Examples include: options, futures, forwards, share ratios and warrants.

Section D: Investment Strategy

Please complete the table below to specify investment ranges for the Fund.

Note that the ranges² can be changed by resolution at any time – they are not binding, they are merely an expression of the trustee's intention. Note also that you will need to ensure that the Trust Deed for the Fund permits the Trustee to invest in the asset classes outlined below.

Asset Class	Range %
Australian Equities	___ to ___%
International Equities	___ to ___%
Australian Property	___ to ___%
Australian Fixed Interest	___ to ___%
International Fixed Interest	___ to ___%
Cash	___ to ___%
Other, please specify	___ to ___%

² Note that whilst there is nothing specific in the legislation, the Regulator has indicated that they do not consider the trustee has met its obligations if the investment strategy is simply a range of 0% to 100% across every asset class.